

05/04/01  
JC862 U.S. PTO

05-07-01  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
UTILITY PATENT APPLICATION TRANSMITTAL  
UNDER 37 CFR 1.53(b)

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| <b>Address to:</b><br><br>Box Patent Application<br><br>Commissioner for Patents<br><br>Washington, DC 20231 | <b>Assignee</b>               | <b>International Business Machines Corporation</b> |
|  | <b>Attorney Docket No.</b>    | SVL920010039US1                                    |
|  | <b>Inventor(s)</b>            | Shyh-Mei F. Ho, et al.                             |
|  | <b>Express Mail Label No.</b> | EJ495228159US                                      |
|  | <b>Filing Date</b>            | <b>MAY 4, 2001</b>                                 |

11033 U.S. PTO  
09/04/01  
05/04/01

**Title of Application: IMS TRANSACTION MESSAGES METAMODEL**

*Transmitted with the patent application are the following:*

- ☒ 54 Page(s) Specification, Claims and Abstract
- ☒ 14 Page(s) Informal Drawings (Fig. 1-14)
- ☒ 4 Page(s) Declaration and Power of Attorney (two declaration forms, 2 pages each)
- ☒ 3 Page(s) Assignment of the Invention to International Business Machines Corporation (including Recordation Cover Sheet)
- ☒ Return Receipt Postcard (MPEP 503).

**This application is a:**

☐ Continuation ☐ Divisional ☐ Continuation-in-Part of prior application Serial No. \_\_\_\_\_

Prior application information: Examiner: \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

**Fee Calculation:**

|                                  | Claims |       | Extra | Rate      | Fees      |
|----------------------------------|--------|-------|-------|-----------|-----------|
| <b>Basic Fee</b>                 |        |       |       |           | \$ 710.00 |
| <b>Total Claims</b>              | 12     | -20 = | 0     | x \$18.00 |           |
| <b>Independent Claims</b>        | 4      | -3 =  | 1     | x \$80.00 | 80.00     |
| <b>Multiple Dependent Claims</b> |        |       |       | +\$270.00 |           |
| <b>TOTAL</b>                     |        |       |       |           | \$ 790.00 |

- ☒ Please charge my Deposit Account No. 09-0460 in the amount of \$ 790.00. A duplicate copy of this sheet is attached.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account 09-0460.
- ☒ Any filing fees under 37 CFR 1.16 for the presentation of extra claims.
- ☒ Any patent application processing fees under 37 CFR 1.17.

**EXPRESS MAIL CERTIFICATE**

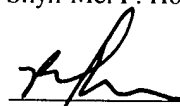
I hereby certify that the above paper/fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to the Commissioner for Patents, Washington, DC 20231.

Date of Deposit: MAY 4, 2001

Person Mailing paper/fee: Carla R. Aliberti

Signature: Carla R. Aliberti

Respectfully submitted,  
Shyh-Mei F. Ho, et al.

  
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